

## **Report to the Finance Performance Management Cabinet Committee**



**Report reference:** **FPM-011-2018/19**  
**Date of meeting:** **13 September  
2018**

**Epping Forest  
District Council**

**Portfolio:** Finance

**Subject:** Risk Management – Corporate Risk Register

**Responsible Officer:** Edward Higgins (01992 564606).

**Democratic Services:** Rebecca Perrin (01992 564532).

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### **Recommendations/Decisions Required:**

- (1) To agree the updated Risk Vulnerability and Trigger for Risk 1;**
- (2) To agree the updated Effectiveness of Controls/Actions for Risk 2;**
- (3) To agree removal of Risk 8 from the Corporate Risk Register;**
- (4) To agree the updated Required Further Management Action for Risk 9;**
- (5) To consider whether there are any new risks that are not on the current Corporate Risk Register; and**
- (6) To agree that the amended Corporate Risk Register be recommended to Cabinet for approval**

### **Executive Summary:**

The Corporate Risk Register was considered by the Risk Management Group on 13 August 2018 and Management Board on 15 August 2018. The reviews identified updates for the current risks and removal of one risk.

### **Reasons for Proposed Decision:**

It is essential that the Corporate Risk Register is regularly reviewed and kept up to date.

### **Other Options for Action:**

Members may suggest new risks for inclusion or changes to the scoring of existing risks.

### **Report:**

1. The Corporate Risk Register was reviewed by the Risk Management Group on 13 August 2018 and by Management Board on 15 August 2018. Amendments have been identified and incorporated into the register (Appendix 1).
2. Risk 1 Local Plan – The Risk Vulnerability has been updated to advise the Local Plan

submission deadline of the 24 January 2019. Failure to submit the Local Plan to the Secretary of State for Independent Examination will result in the Council having to use the standard methodology for the assessment of housing need. The risk Trigger has also been updated to advise that the Council is awaiting the decision on an application from the claimants to the Court of Appeal to seek leave to appeal the High Court decision which dismissed the claim for judicial review. This is holding up the submission of the Local Plan Submission Version (LPSV) for independent examination.

3. Risk 2 Strategic Sites – The Effectiveness of Controls/Actions has been updated. Epping Forest Shopping Park has been removed from the list of strategic sites, as letting of all units nears completion. The site is now operational.
4. Risk 8 Partnerships - The risk which was scored C3 (Medium Likelihood/Minor Impact) has been removed from the Corporate Risk Register. Both the Risk Management Group and Management Board believe that the risk can now be managed at Directorate level. The Risk is attached as Appendix 2 for information.
5. Risk 9 Safeguarding – The Required Further Management Action has been updated to advise the Safeguarding Strategy and Action Plan is to be reviewed during 2018/19.
6. Members are now asked to consider the attached updated Corporate Risk Register and whether the risks listed are scored appropriately and whether there are any additional risks that should be included.

**Resource Implications:**

No additional Resource requirements

**Legal and Governance Implications:**

The Corporate Risk Register is an important part of the Council's overall governance arrangements and that is why this Committee considers it on a regular basis.

**Safer, Cleaner and Greener Implications:**

None

**Consultation Undertaken:**

The Risk Management Group and management Board we involved in the process.

**Background Papers:**

None

**Risk Management:**

If the Corporate Risk Register was not regularly reviewed and updated a risk that threatened the achievement of corporate objectives might either not be managed or be managed inappropriately.

**Equality Analysis:**

The Equality Act 2010 requires that the Public Sector Equality Duty is actively applied in decision-making. This means that the equality information provided to accompany this report is essential reading for all members involved in the consideration of this report. The equality information is provided as an appendix to the report.